

PTO/SB/30 (09-03)

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**Request
for
Continued Examination (RCE)
Transmittal**Address to:
Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Application Number	09/973,883
Filing Date	October 10, 2001
First Named Inventor	John R. Hind
Art Unit	2172
Examiner Name	Isaac M. Woo
Attorney Docket Number	RSW920010194US1

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This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☒ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Rely Brief previously filed on _____
- ii. ☒ Other Amendment After Final Rejection filed July 19, 2004
- b. ☐ Enclosed
- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s)/ Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other _____

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other _____

3. Fees

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
The Director is hereby authorized to charge the following fees, or credit any overpayments, to
- a. ☒ Deposit Account No. 09-0461
- i. ☒ RCE fee required under 37 CFR 1.17(e)
- ii. ☒ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☒ Other Any patent application processing fees required under 37 CFR 1.16 or 1.17
- b. ☐ Check in the amount of \$ _____ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Marcia L. Doubet	Registration No. (Attorney/Agent)	40,999
Signature	<i>Marcia L. Doubet</i>	Date	September 10, 2004

CERTIFICATE OF MAILING OR TRANSMISSION

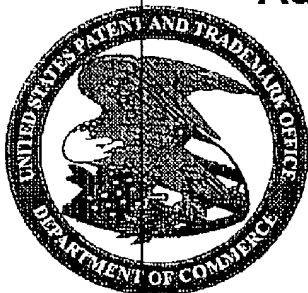
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	Marcia L. Doubet	Date	September 10, 2004
Signature	<i>Marcia L. Doubet</i>		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Under the provisions of the Patent and Trademark Act of 1980, as amended, the following information is provided to you in accordance with 37 CFR 1.114.

Request for Continued Examination (RCE) Transmittal

Address to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Application Number: 06673,883
 Filing Date: October 10, 2001
 First Named Inventor: John R. Hord
 Art Unit: 2172
 Examiner Name: Isaac M. Wain
 Attorney Docket Number: RSW20010194US1

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. (Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.)

1. **Submission required under 37 CFR 1.114** (note: if the RCE is proper, any previously filed unexamined amendments and other documents enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unexamined amendments entered, applicant must request non-entry of such amendments.)

a. ☒ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

b. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____

c. ☒ Other: Amendment After Final Rejection filed July 10, 2004

d. ☐ Enclosed

e. ☐ Amendment/Reply

f. ☐ Affidavit(s) Declaration(s)

g. ☐ Information Disclosure Statement (IDS)

h. ☐ Other: _____

2. **Miscellaneous:**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months. Fee under 37 CFR 1.17(d) required.)

b. ☐ Other: _____

3. **Fee**

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge the following fees, or credit any overpayment(s), to Deposit Account No. 000-0651.

a. ☒ RCE fee required under 37 CFR 1.17(e)

b. ☒ Extension of time fee (at 37 CFR 1.136 and 1.137)

c. ☒ Other: Any patent application processing fees required under 37 CFR 1.101 or 1.17

d. ☐ Check in the amount of \$ _____ enclosed

e. ☐ Payment by credit card (Form PTO-2008 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. If credit card information is included, applicant must sign Form PTO-2008.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type): Daniel L. Dugan
 Signature: [Signature]
 Date: September 10, 2004

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage to be sent first class mail in an envelope addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or to be sent by air mail to the U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND PDS OR COMPLETED FORMS TO THIS ADDRESS. Please use the address on the front of the form.

Name (Print/Type): Daniel L. Dugan
 Signature: [Signature]
 Date: September 10, 2004

The collection of information required by 37 CFR 1.114. The information is required to obtain or retain a benefit by any public which is to be (and by the USPTO to present) a publication. Confidentiality is guaranteed by 36 U.S.C. 172 and 37 U.S.C. 1.14. This collection is estimated to take 12 minutes to complete, including gathering, reviewing, and entering the completed information into the USPTO. Fees will vary depending upon the applicant's needs. Any comments on the amount of time you require to complete this form, or any suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND PDS OR COMPLETED FORMS TO THIS ADDRESS. Please use the address on the front of the form.

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PAGE 1/1 * RCVD AT 9/10/2004 9:37:28 PM [Eastern Daylight Time] * SVR:USPTO-EFXXRF-1/5 * DNIS:8729306 * CSID:4078709605 * DURATION (mm-ss):02:26

Atty. Docket No. RSW920010194US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RCE Transmittal

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In re application of: John R. Hind, et al.

Serial Nbr: 09/973,883

Filed: October 10, 2001

For: Adaptive Indexing Technique for Use with Electronic Objects

Art Unit: 2172

Examiner: Isaac M. Woo

Mail Stop RCE

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing please find:

(1) a copy of a previously-filed **Request for Continued Examination** in the above-identified Application, including:

a) **RCE Transmittal** sheet (1 page); and

(2) a copy of an **Auto-Reply Facsimile Transmission** receipt (1 page), showing that the 1 page in item (1) was received at the USPTO on September 10, 2004.

☒ No additional fee is required.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0461. A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 C.F.R. §1.16.

☒ Any patent application processing fees under 37 C.F.R. §1.17.

Date: Sept. 24, 2004

Correspondence Address: Cust. No. 25260

P. O. Box 422859

Kissimmee, FL 34742-2859

By Marcia L. Doubet
Marcia L. Doubet, Attorney for Applicants
Registration Number 40,999
Telephone 407-343-7586
Fax 407-343-7587

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that the above-identified papers, a total of 4 pages (including 2 copies of this transmittal sheet), are being facsimile transmitted to the Patent and Trademark Office at (703) 872-9306 on September 24, 2004.

Matthew E. Whiting
(Name of person sending paper or fee)

Matthew E. Whiting
(Signature of person sending paper or fee)